Key Employee Promotions

We are delighted to announce the promotions of key employees at Newgate Compliance.

Matthew Hazell and Traci Pham have been promoted to Managing Directors.

Haydon Thomas has been promoted to Director.

"Congratulations to Matthew, Traci and Haydon on their promotions. They have all made significant contributions to the firm's growth and development and will play an invaluable role in the future growth and leadership of our business. We are proud of their achievements and confident that they will continue to thrive in their new roles", said Martin Herriot, Founding Director.

Martin Herriot and Aron Brown will continue to be fully involved and engaged at Newgate but, with these appointments, they will transition to Founding Directors allowing them to focus on the overall firm strategy, clients, and market opportunities and growth.

Newgate's newly promoted individuals are:



Matthew Hazell joined Newgate in May 2014 and has over 20 years of regulatory experience predominately as an employee of the FSA and then in other compliance consulting roles in the UK.



Traci Pham has extensive experience in legal, compliance and regulatory risk management. She was previously Head of Compliance at an asset manager in London, and Head of Legal & Compliance at a FinTech firm. Traci is a former lawyer at Baker & McKenzie.



Haydon Thomas joined Newgate in February 2015 from a large UK compliance consultancy. Prior to that Haydon spent over a decade at the FSA mostly in the Authorisations team.

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