



Managing Your Investment Risks.... Today and Tomorrow

- **RiskCap International Ltd**, was established in Malta in 2013 to customise and outsource risk management solutions to the fund management industry. Since then, the company has also brought their expertise to provide risk solutions to managed accounts, family offices and private equity
- **RiskCap**, can provide risk services for Bermuda, Cayman, Dublin, Luxembourg, Maltese and UK domicile funds for AIF's UCITS and PIFS with over a 100 funds and a global client base.

RiskCap currently advises on some €7.2 billion

When it comes to risk management, regulators and investors are demanding greater transparency and increased accountability

Why Outsource Your Risk Management To RiskCap?

Under AIFM and UCITS Directives, a fund manager can delegate their risk management function

- RiskCap can put in place the required Risk Management Process, which is documented in the funds risk management policy and other related documents. We also have experience in working with fund companies in multiple International Jurisdictions
- Our teams can monitor the funds key risks and performance indicators

So If: -

- You nominate risk management to a 3rd party, you can then fully concentrate on managing your fund
- You do not have dedicated staff with the appropriate technical knowledge and/or experience
- Your risk manager has resigned or taken a leave of absence and you need a temporary replacement.
- You cannot find an individual by your budget/range of skills/ experience or flexibility to fulfil a position
- You want additional risk oversight (shadow risk accounting) in your internal risk management team
- You do not have the systems to carry out the required analytics to produce in house risk reports

A Brief Overview Of Our Risk Management Process And Our Objectives

We Believe: -

- No one client is the same as the other, so we seriously listen to what **YOU NEED**
- There should be no limits on the size of the funds/managed accounts we work with or their jurisdiction.
- In providing a totally flexible service that suits **YOUR** regulatory needs

We Will at Fund Level: -

- Assist in defining market risk limits/liquidity risk and stress tests and monitor responsibilities and escalation procedures

- Assist with selection/specification of risk systems and observe the risk parameter/limits as indicated in the official fund prospectus/OM
- Carry out “Shadow” risk assessments/audit
- Help reduce operational risk
- Identify the sources of risk that may prevent you from pursuing your performance objective and covering the likes of style drift or crisis risk offset
- Identify and assist with any other necessary disclosure as required by regulators
- Look at exposures, leverage and concentration and Value at Risk, committed and notional risk approach
- See that you are compliant with investment limits and policy
- Show our findings as a fund overview, risk summary and a review on liquidity
- Review and validate risk/pricing models as per regulatory requirements; on a daily, weekly or monthly basis
- Review your risk management process including any third party issuer and counterparty or operational risk for each exposure
- Transform data into an easy to read format, thus promoting a greater understanding of your risks and opportunities
- Carry out KIID SRRI and PRIIP’s SRI calculations and Solvency II
- Do Pre-Trade screening for risk and investment suitability
- [We can carry out ANNEX IV reporting for AIF structures](#)

Our Services Support all Fund Strategies, including but not limited to:-

- Long/Short Equity
- Long Only
- Fixed Income
- Global Macro
- CTA’s, Futures, Options and ETF’s
- Fund of Funds
- Real Estate
- Private Equity

For More Information Please Contact: -

Charles Cantlie
 Director
 13 Craven Street
 London WC2N 5PB
 Email: ccantlie@riskcap.com
 Telephone: +44(0)207 839 9742/91
 Mobile: +44(0)7860968427

